IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Noble et al. Examiner: Not Yet Assigned

Serial No.: 10/563.077 Group Art Unit: 1639

Filed: December 29, 2005 Docket: UCLA.154-US-WO

Title: GENETIC MARKER OF RESPONSE TO ATYPICAL ANTIPSYCHOTICS AND

ANTIDEPRESSANTS AND METHODS FOR USE THEREOF

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being e-filed with the United States Patent and

Trademark Office on January 4, 2007.

By: Name: Karen S. Canady

PRELIMINARY AMENDMENT UNDER 37 C.F.R. §1.115

Mail Stop Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir

Prior to preparation of a first Office Action, please amend the above-identified application as follows.

Amendments to the claims begin at page 2.

Remarks begin at page 3.